



EDFIS/C/35/2018
29th April 2018

The CEO/General Manager
All Investment Firm Licensees
Manama
Kingdom of Bahrain

Dear Sir/Madam,

CBB Rulebook: Volume 4 (Investment Business)
April 2018 Update

The April 2018 quarterly update to Volume 4 has now been incorporated in the website version of the Rulebook.¹

Changes for April 2018:

The changes for April 2018 are listed in the attached table.

Licensees must ensure that all relevant staff are informed of the latest amendments and that where needed, appropriate action is taken to ensure full compliance. Licensees which are not in full compliance must notify the CBB with immediate effect and provide an action plan for compliance on a quarterly basis.

Further Information:

Should you have any queries regarding these updates, you may contact the Director of Financial Institutions Supervision Directorate, or the CBB Rulebook team at (rulebook@cbb.gov.bh), on 17547968.

Yours faithfully,

Abdul Rahman Al Baker

Enclosure: Volume 4 Update Table.

¹ Volume 4 can be accessed from www.cbb.gov.bh : from the Home page, select 'CBB Rulebooks' and then 'Volume 4'.

CBB Rulebook (Volume Four) – April 2018 Update

PART A FOLDER			
Module Code	Module Title	Summary of Changes and Printing Instructions	
AA	Auditors and Accounting Standards	AA-3.2.2	Amended Paragraph.
AU	Authorisation	AU-1.2.2 AU-5.1.1 AU-5.1.12E AU-5.2.2	Amended Paragraph. Amended Paragraph. Amended Paragraph. Amended Paragraph.
BR	CBB Reporting	BR-1.4.11	Amended Paragraph.

PART B FOLDER	
CBB AUTHORISATION FORMS	
Form 1 Application for a License Form 2 Application for Authorisation of Controller Form 3 Application for Approved Person Status	Amended the name of “the Licensing Directorate”.