



EDFIS/C/085/2018  
18<sup>th</sup> December 2018

**The CEO/General Manager**  
All Investment Firm Licensees  
Manama  
Kingdom of Bahrain

Dear Sir/Madam,

**CBB Rulebook: Volume 4 (Investment Business)**  
**October 2018 Update**

The October 2018 quarterly update to Volume 4 has now been incorporated in the website version of the Rulebook.<sup>1</sup>

**Changes for October 2018:**

The changes for October 2018 are listed in the attached table.

Licensees must ensure that all relevant staff are informed of the latest amendments and that where needed, appropriate action is taken to ensure full compliance. Licensees which are not in full compliance must notify the CBB with immediate effect and provide an action plan for compliance on a quarterly basis.

**Further Information:**

Should you have any queries regarding these updates, you may contact the Director of Financial Institutions Supervision Directorate, on 17547968 or the CBB Rulebook team at [rulebook@cbb.gov.bh](mailto:rulebook@cbb.gov.bh).

Yours faithfully,

**Abdul Rahman Al Baker**

Enclosure: Volume 4 Update Table.

<sup>1</sup> Volume 4 can be accessed from [www.cbb.gov.bh](http://www.cbb.gov.bh) : from the Home page, select 'CBB Rulebooks' and then 'Volume 4'.

## CBB Rulebook (Volume Four) – October 2018 Update

<b>PART A FOLDER</b>		
<b>Module Code</b>	<b>Module Title</b>	<b>Summary of Changes and Printing Instructions</b>
BR	CBB Reporting	Added a new Section on Report on Private Placements.

<b>PART B FOLDER</b>	
<b>SUPPLEMENTARY</b>	
Appendix BR-2 -Requirements for Report on Private Placements	Added new Appendix.