



EDFIS/C/084/2018
13th December 2018

The CEO/General Manager
All Listed Companies
Self-Regulatory Organisations (SRO)
SRO Members
All Securities Issuers
Legal Firms
Auditing Firms
Manama, Kingdom of Bahrain

Dear Sir/Madam,

CBB Rulebook: Volume 6 (Capital Markets): October 2018 Quarterly Update

The October 2018 update to Volume 6 has now been incorporated in the website version of the Rulebook.¹

Changes for October 2018:

The changes for October 2018 are listed in the attached table.

All licensees must ensure that all relevant staff are informed of the latest amendments and that where needed, appropriate action is taken to ensure full compliance. Licensees which are not in full compliance must notify the CBB with immediate effect and provide an action plan for compliance on a quarterly basis.

Further information:

Should you have any queries regarding these updates, you may contact the Director of Capital Markets Supervision (CMS) Directorate, Mrs. Abeer Al Saad on Tel: 17547128 or via email: alsaad@cbb.gov.bh, or the CBB Rulebook team at email: rulebook@cbb.gov.bh.

Yours faithfully,

Abdul Rahman Al Baker

Enclosure: October 2018 quarterly update table

¹ Volume 6 can be accessed from www.cbb.gov.bh : from the Home page, select 'CBB Rulebooks' and then 'Volume 6'.

CBB Rulebook (Volume 6) – October 2018 Quarterly Update

Summary of Changes

PART A FOLDER			
Module Code	Module Title	Summary of Changes	
MAE	Markets & Exchange Module	MAE-2.11.17	Amended Paragraph to further clarify the scope of exemption.